

INFLUENCE OF SOLVENTS ON UV DEGRADATION OF POLYSILANES FILMS

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1. Introduction

In the paper we deal with the UV degradation process in polymers in general and silicon based polymers in particular, using the well-known prototypical polymer poly[methylphenylsilylene] (PMPSi) [1]. Attention is focused on the influence of solvents used for films preparation and on the UV degradation process in these films examined by Photoluminescence (PL) spectroscopy and Electrochemical Impedance Spectroscopy (EIS).

The goal of the study is oriented on the writing of information into thin polymer films and production of nano masks for semiconductor industry [2-4]. PMPSi is a prototypical silicon-based polymer from the polysilanes (PS) group that attracted attention because of their nonlinear optical and photoelectrical properties [2]. PS constitute the example of the rare group of polymers that have σ -conjugated bonding orbitals along the main chain, in contrast to the carbon based polymers, where the π -conjugation of carbon is responsible for their unique properties. A single bonded linear Si chain is more vulnerable to any distortion and/or cutting compared to the double bonded one what makes the material susceptible to degradation by electron beam and UV radiation [1]. Thus, in the field of applications of PS, their degradation properties may be with advantage used e.g. for electron or UV resists in lithography or as UV sensitive macro initiators of chemical reactions [2, 4]. The general accepted mechanism of UV degradation of PS assumes the generation of excitons by deexcitation $\sigma^*-\sigma$, which diffuse along the main chain until they are trapped on the most stressed segment with the lowest potential energy and they further strain it and/or cut them in a non-radiative process resulting in strained weak bond and/or dangling bond on the Si-Si chain. The weak bonds thus serve as the defect pool for the scission of Si-Si bonds [4].

For the study of degradation process, the fluorimetry is a very suitable spectroscopic method because the photoluminescence (PL) excitation and emission spectra depict the quotient of undisturbed Si-Si bonds. Study of the material absorption replenishes information regarding the identification of the material structure, as absorption spectra strongly correlate with PL spectra [5]. Typical excitation PL spectra in PMPSi are characterized by two maxima, one at 333 ± 5 nm (due to $\sigma^*-\sigma$ transition) and the second at 277 nm due to $\pi^*-\sigma$ transition, as there is a strong $\pi-\sigma$ mixing, whereas the emission spectrum, shows maximum at 355 ± 5 nm only. Just this emission is sensitive to UV degradation and we used it throughout of this study [6] [7].

Another method used for the correlation with the degradation is Electrochemical Impedance Spectroscopy (EIS), used for the elucidation of the electron structure in a wide energy range (6 eV) and excessive dynamics (about 6 orders of magnitude) [8]. The method is examining the interaction of the interface polymer – electrolyte, based on the oxidation reduction (O/R) charge transfer current density j between the semiconductor surface

electrons with the concentration n_s and O/R couple of the electrolyte with the concentration $[A]$, based on the equation [9] [10]

$$j = ek_f n_s [A], \quad (1)$$

where e is the elemental charge and k_f is the transfer coefficient. If the external voltage U is applied, the equal shift of the Fermi energy in semiconductor results and the density of electron states in semiconductor at the Fermi level $g(E_F)$ is

$$g(E_F) = \frac{dn_s}{dE_F} = \frac{1}{ek_f [A]} \frac{dj}{dU} = \frac{1}{ek_f [A] R_{ct}} (U). \quad (2)$$

The term $R_{ct} = \left(\frac{dj}{dU} \right)^{-1}$ is accessible to the measurement as a real part of the impedance as the charge-transfer resistance, characterizing the rate of the charge-transfer process.

The impedance measurements are carried out in a carefully chosen frequency range, where the charge transfer current density j (and corresponding charge transfer resistance R_{ct}) are accessible, giving the concentration of electrons on the semiconductor surface accessible for O/R chemical reactions and thus density of electron states in semiconductor. More detailed information about EIS method is given in [11].

2. Experiment, results and discussion

2.1 Experiment

PMPSi films were deposited from the toluene and tetrahydrofuran (THF) solutions, for PL on the quartz substrates and for EIS measurements on the ITO covered glass substrates. A method used for films deposition was spin-coating and the SpinCoater, K.L.M. was used. Resulting thickness was kept constant, approximately at 400 nm for the concentration 3,5 wt% of PMPSi in toluene and in THF, spin coater frequency for both solvents was 10 s^{-1} , the deposition time was 60 s, such prepared films were annealed at $60 \text{ }^\circ\text{C}$ for 4 hours in N_2 atmosphere in the Glovebox (Jacomex). The thickness was measured by the profilometer Veeco DEKTAK 150. For the PL spectroscopy measurements the fluorimeter Jobin-Yvon Fluorolog-3 spectrophotometer, model FL3-22, was used, the degradation was carried out *in situ* at 335 nm for the degradation time 0-1200s. For EIS measurements the spectrometer Solartron analytical 1260, was used with the measurements frequency in the range 0.1-1 Hz and the amplitude of AC signal 10 mV and the sweep rate for the superimposed dc voltage ramp 10 mV/s . The electrochemical measurements were performed in the electrochemical arrangement with the electrochemical microcell (volume of $100 \text{ }\mu\text{l}$) filled with acetonitrile, built on the ITO coated substrate and measured using three electrode electrochemical cell in the glovebox. As the source of the UV degradation for the EIS served the low-pressure mercury lamp with the UV intensity at the sample surface (2 mW/cm^2) also placed in the glovebox.

2.2 PLS degradation results

The examples of the PL emission spectra before and after 1200s UV irradiation of PMPSi are shown in Fig. 1. At 335 nm wavelength photons cause Si-Si bonds σ - σ^* excitation and σ^* - σ exciton, its diffusion, breaking and creating the weak Si-Si bonds first on the penetration depth and later in the whole volume. Thus, the PL spectra exhibit a typical

exciton $\sigma^*-\sigma$ peak with the maximum at about 357 nm. After progressive degradation we can see the shift of the exciton peak towards to shorter wavelengths. This effect is attributed to the shift of the bond breaking to the stronger bonds. The shift is observable for all films independent of type of solvents.

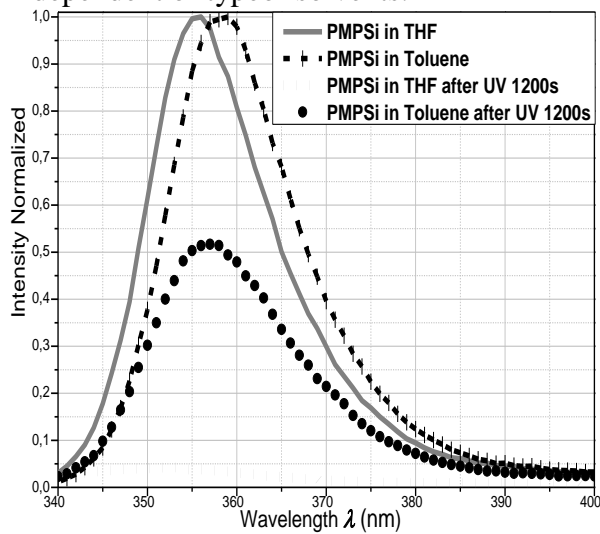


Fig.1: PL emission spectra of PMPSi prepared in toluene (black dash) and in THF (grey solid) before and after $t=1200$ s UV (toluene – grey, THF – black dots), $\lambda_{deg}=335$ nm, film thickness ~ 400 nm

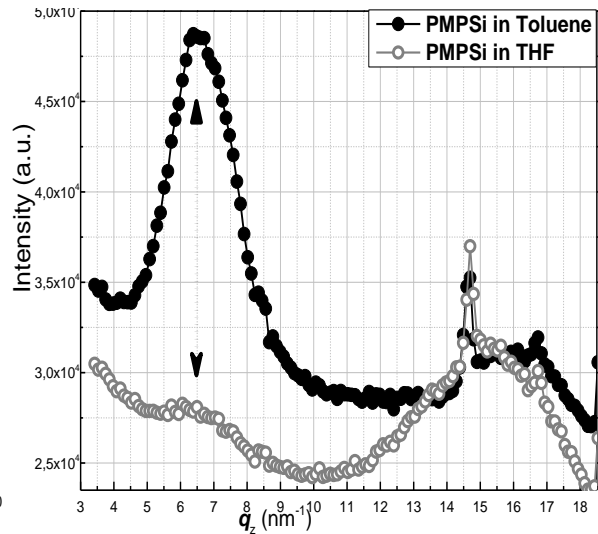


Fig. 2: X-Ray Diffraction of PMPSi prepared in toluene (black dots) and PMPSi prepared in THF (grey empty dots), sample thickness $\sim 1 \mu\text{m}$

In the PL emission spectra (Fig. 1) we can observe the effect of solvent used in preparing PMPSi films. There are differences in emission spectra of PMPSi prepared in toluene and THF before and after UV irradiation. PMPSi prepared in toluene degrades with lower rate (black colour) compared to PMPSi in THF (grey colour). The distribution of the chain segment lengths corresponds to the distribution of absorption energies; the longer segment the lower energy of absorption. The excitons move from shorter to longer segments with lower energy and split the weak bonds there.

We assume the solvent has strong influence on the resulting structure of PMPSi. Toluene is the solvent with a higher density and lower ability to evaporate as THF. We suppose that films made from toluene have better structure as films made from THF. This fact was supported by the structure measurements. In Fig. 2 we can see measurement of X-Ray diffraction of PMPSi films. The degree of structural order is expressed by the intensity of the structural peak at $q^{-1}=6,5 \text{ nm}^{-1}$; for PMPSi film prepared in toluene (black line) we can see much more expressed structural peak compared to PMPSi prepared in THF (grey line). We assume PMPSi films prepared in toluene have the time to create a much more favourable structure with longer conjugation length and less strained bonds compared to that prepared in THF.

2.3 EIS results

The spectroscopic results of the EIS electron structure expressed by the Density of States (DOS) spectroscopy are in Fig. 3. The UV degradation is strongly expressed in the electron structure changes, especially in the DOS distribution near the HOMO region.

For the PMPSi in THF film the DOS changes by the degradation in the dynamic range of 4 orders of magnitude and the energy span of 4 eV. The degradation causes the creation of localized states with the peak about 0.6-0.7 eV above HOMO, corresponding to the decrease of the PL emission peak at 357 nm. The most expressed degradation changes

take place in the energy interval (-1eV, +1eV with respect to standard electrode), where two orders of magnitude growth of DOS is visible for the THF film in Fig. 3 (left), corresponding to the decrease of PL emission peak in Fig. 1 (grey colour).

On the other hand we cannot see growth of DOS PMPSi in toluene film. The electron structure of these films expressed as DOS in the interval of nearly 6 orders and energy span of 5 eV is obvious and the low figure of the localized states above HOMO is striking (Fig. 3 right). This observation is in accord with a low rate of degradation in PL emission spectra (Fig. 1, black colour).

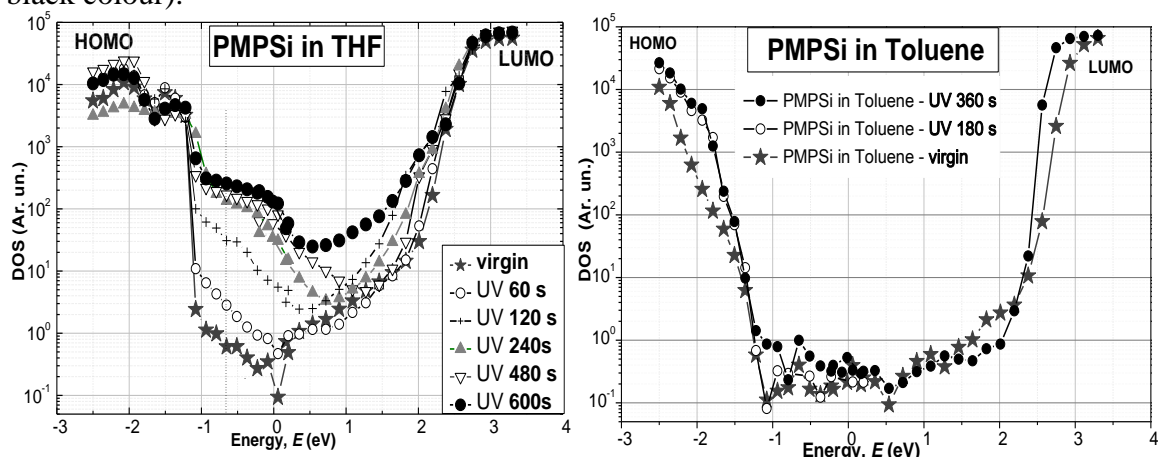


Fig.3: EIS degradation spectra of PMPSi in THF (left) and PMPSi in toluene (right) before (virgin – stars lines) and after progressive degradation (dots line), films thickness ~ 400nm

2.4 Discussion and conclusions

We elucidate the scission of the silicone main chain polymer - PMPSi after progressive UV degradation by (PL) spectroscopy and EIS. The exciton peak intensity at about 357 nm was decreasing with degradation time and shifting to the lower wavelength. Degradation resulted in the creating weak (PL active at 500 nm – not given here) and/or dangling bonds and shortening of the conjugation length of the PMPSi molecule (dangling bond PL not active, but leading to the decrease the exciton peak intensity at 357 nm). The increase of the defects states was observed by EIS and expressed state evolved at about 0.6 - 0.7 eV above HOMO on the films of PMPSi in THF. There is a strong correlation between the increases of the chain defects in DOS measured by EIS with the PL intensity decrease. Striking differences of results between PMPSi films made from toluene and THF solution in both spectroscopic methods after the degradation were observed. The films of PMPSi in toluene exhibit only slow degradation, which is due to the better structure. Differences between PL and EIS measurements were probably caused by the different measurements conditions, as PL degradation was carried out in air ambient, whereas the EIS degradation in N₂ atmosphere of the glovebox.

For nanotechnology applications the use of films prepared by solvent THF is thus distinctly preferable.

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